

Attorney's Docket No. K&A 21-0854

**APPLICATION**

**FOR UNITED STATES LETTERS PATENT**

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**SPECIFICATION**

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT WE, **WILLIAM J. BUSHEE**, a citizen of UNITED STATES OF AMERICA, and **THOMAS W. TIAHRT**, a citizen of UNITED STATES OF AMERICA, have invented a new and useful **SYSTEM AND METHOD FOR EFFICIENT CONTROL AND CAPTURE OF DYNAMIC DATABASE CONTENT** of which the following is a specification:

**SYSTEM AND METHOD FOR EFFICIENT CONTROL AND  
CAPTURE OF DYNAMIC DATABASE CONTENT**

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**BACKGROUND OF THE INVENTION**

**Incorporation by Reference**

This patent application discloses an invention which may optionally form a portion of a larger system. Other portions of the larger system are disclosed and described in the following co-pending patent applications, all of which are subject to an obligation of assignment to the same person. The disclosures of these applications are herein incorporated by reference in their entireties.

METHOD AND SYSTEM FOR AUTOMATIC HARVESTING  
AND QUALIFICATION OF DYNAMIC DATABASE  
CONTENT, William J. Bushee, Thomas W. Tiahrt, and  
Michael K. Bergman, and Filed July \_\_\_\_, 2001, Application  
Serial Number \_\_\_\_\_.

METHOD FOR AUTOMATIC SELECTION OF DATABASES  
FOR SEARCHING, William J. Bushee, Filed July \_\_\_\_, 2001,  
Application Serial Number \_\_\_\_\_.

AUTOMATIC SYSTEM FOR CONFIGURING TO DYNAMIC  
DATABASE SEARCH FORMS, William J. Bushee, Filed July  
\_\_\_\_, 2001, Application Serial Number \_\_\_\_\_.

**Field of the Invention**

The present invention relates to search engines and more particularly pertains to a new system and method for efficient control and capture of dynamic database content for rapidly providing a user with a highly relevant collection of documents related to a query.

### **Description of the Prior Art**

The Internet is a worldwide system of computer networks in which users at any one computer may get information located on virtually any other computer with appropriate authorization. The Internet uses a set of protocols called Transmission Control Protocol/Internet Protocol or TCP/IP. The World Wide Web (often abbreviated as WWW) is a portion of the Internet using hypertext as a method for rapid cross-referencing that links one document or site to another.

A database is a collection of data, which is organized in a manner that allows its contents to be easily accessed, managed, and updated. Given this definition an Internet site can be viewed as a database with a collection of data that can be viewed as pages, or accessible documents. Similarly, any network for accessing documents can be considered a database, including intranets and extranets. These network databases can be either static or dynamic. A static network database provides the same set of documents or pages to every user. A dynamic network database presents unique documents or pages to different users, typically as a response to the users' queries.

The use of search engines is known in the prior art. The Internet, as well as the predecessor ARPANET, has since its

inception held the promise of real-time access to an almost  
inexhaustible supply of information, stored on computers  
throughout the world. Sorting through the information available to  
find documents relevant to a given question or query can be  
5 laborious; and a method to speed this process is needed. Search  
engines allow a user to search for sites that have one or more  
keywords corresponding to the user's query. This development has  
sped up the process of finding sites, but has not necessarily  
improved the quality of the results. While it is true that millions of  
10 documents are readily available as static pages to users through  
search engines, much more of the total content of the Internet has  
remained in the shadows. This remaining content, while available,  
often requires independent knowledge of the exact location of the  
document, sophisticated search techniques, or in many cases the use  
15 of professional researchers to attempt to "mine" the needed  
information.

Search engines have been improved through the use of link-  
followers also known as "crawlers", which allow a search engine to  
20 follow links on a known web page to discover other web pages as  
new sources of information and to build an index. Crawlers are an  
improvement over conventional search engines in that they can  
provide more sites that are relevant to a given question or query.  
But again, as was the case with conventional search engines, only  
25 static pages have been available as results to the user. Some of the  
static pages may be entry-points for databases, which can provide  
very relevant and detailed information by continued searching.  
However the use of these entry points conventionally requires the  
laborious task of manually entering the user's question in the  
30 specific data-entry windows for each database, capturing the  
results, and then analyzing the results from each database for

relevancy.

## SUMMARY OF THE INVENTION

5           In view of the foregoing disadvantages inherent in the known  
types of search engines now present in the prior art, the present  
invention provides a new system and method for efficient control  
and capture of dynamic database content construction wherein the  
same can be utilized for rapidly providing a user with a highly  
10 relevant collection of documents related to a query.

          The present invention generally comprises a computer system  
with a storage means for facilitating the retention and recall of  
dynamic database content and a communications means for  
15 facilitating bi-directional communication of the computer system  
with local or distributed networks. An executory module is  
operationally coupled to the computer system for controlling the  
storage means and the communications means as well as directing  
the system for the efficient control and capture of dynamic database  
20 content to a plurality of pre-selected Internet sites. A capture  
module is in communication with the executory module and  
facilitates selection of the plurality of Internet sites associated  
with a query submitted by a user of the system.

25           There has thus been outlined, rather broadly, the more  
important features of the invention in order that the detailed  
description thereof that follows may be better understood, and in  
order that the present contribution to the art may be better  
appreciated. There are additional features of the invention that  
30 will be described hereinafter and which will form the subject matter  
of the claims appended hereto.

In this respect, before explaining at least one embodiment of the invention in detail, it is to be understood that the invention is not limited in its application to the details of construction and to the arrangements of the components set forth in the following description or illustrated in the drawings. The invention is capable of other embodiments and of being practiced and carried out in various ways. Also, it is to be understood that the phraseology and terminology employed herein are for the purpose of description and should not be regarded as limiting.

As such, those skilled in the art will appreciate that the conception, upon which this disclosure is based, may readily be utilized as a basis for the designing of other structures, methods and systems for carrying out the several purposes of the present invention. It is important, therefore, that the claims be regarded as including such equivalent constructions insofar as they do not depart from the spirit and scope of the present invention.

The objects of the invention, along with the various features of novelty that characterize the invention, are pointed out with particularity in the claims annexed to and forming a part of this disclosure. For a better understanding of the invention, its operating advantages and the specific objects attained by its uses, reference should be made to the accompanying drawings and descriptive matter in which there are illustrated preferred embodiments of the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be better understood and objects other than those set forth above will become apparent when consideration is given to the following detailed description thereof. Such description makes reference to the annexed drawings wherein:

Figure 1A is a schematic flow diagram of a first portion of a new method for efficient control and capture of dynamic database content according to the present invention.

Figure 1B is a schematic flow diagram of a second portion of the method for efficient control and capture of dynamic database content according to the present invention.

Figure 2 is a schematic functional interconnect flow diagram of the present invention.

Figure 3 is a schematic flow diagram of the thread handler portion of the present invention.

Figure 4 is a schematic flow diagram of the aging handler portion of the present invention.

Figure 5 is a schematic flow diagram of the URL redirect handler portion of the present invention.

Figure 6 is a schematic diagram of the document storage and retrieval portion of the present invention.

Figure 7 is a schematic diagram of the record related information portion of the present invention.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference now to the drawings, and in particular to Figures 1 through 7 thereof, a new system and method for efficient control and capture of dynamic database content embodying the principles and concepts of the present invention will be described.

As best illustrated in Figures 1 through 7, the system 10 for efficient control and capture of dynamic database content generally comprises a computer system 20, an executory module 30, a capture module 60, and a query input means 22.

The computer system 20 includes a storage means 24 for facilitating retention and recall of dynamic database content. The computer system 20 also includes a communications means 26 for facilitating bi-directional communication of the computer system 20 with networks such as local networks, commonly referred to as intranets, and distributed networks, which may include extranets and the Internet.

The executory module 30 of the system 10 is interfaced to the computer system 20 and controls the storage means 24 and the communications means 26. The executory module 30 directs the system to a plurality of pre-selected network sites or databases 2. Hereinafter, references to "databases" should be understood to include sites on intranets and the Internet separate from the system 10.

The capture module 60 of the system 10 is in communication with the executory module 30 and facilitates selection of the plurality of network databases 2 that are associated with a query submitted by a user of the system 10.



The system 10 may include query input means 22 for receiving a query, or a plurality of queries, from a user. The query input means 22 may transfer the plurality of queries (received from the user) to the capture module 30.

In one embodiment of the invention, the query input means 22 comprises an input module. The input module may comprise, for example, a keyboard, a mouse, a data input device capable of converting action of a user to a machine readable query, a data file transferred as one or more electrical signals to the computer system 20, a data file transferred as one or more optical signal to the computer system 20, a data file written to memory in the computer system 20 accessible by the capture module 60, and a data file written to a storage medium accessible by the capture module 60.

A database search listing 32 may be included to provide the capture module 60 with a listing of a plurality of pre-selected databases 2 to which user defined queries may be submitted.

The database search listing 32 may further comprise at least one field that conveys information for formatting of a query to be submitted to at least one of the plurality of pre-selected databases 2.

The executory module 30 may further comprise a network connectivity portion 34 for establishing and maintaining bi-directional connectivity between the computer system 20 and networks (such as the Internet) to facilitate the transmittal of at least one query to at least one site on a network. The network connectivity portion 34 may also establish and maintain bi-directional connectivity between the computer system 10 and a

local network (such as an intranet) to allow queries from a user to be directed at both external databases (Internet) and internal databases (intranet). The network connectivity portion 34 may use a plurality of sockets 36 to establish and maintain bi-directional connectivity with the network.

The executory module 30 may further comprise a document storage and retrieval portion 38 for retaining documents. The documents in the document storage and retrieval portion may comprise documents that have been returned in reply to one of the plurality of queries submitted to each associated one of the plurality of databases 2. The documents are thus saved on the system at a location that is separate and distinct from the source site on the network from where the document was retrieved.

The document storage and retrieval portion 38 may further comprise a document storage module 39 for retaining each one of a plurality of documents as part of an indexed array for facilitating rapid retrieval of a document by the user. A document may be a web page, or an accessible file in a variety of formats. Illustrative examples of these formats include, but are not limited to, text, HTML, and PDF files.

Significantly, the document storage module 39 stores each one of the plurality of documents as part of a binary stream of data. The entire collection of documents in the document storage is stored in a single file. Each one of the plurality of documents is separately and individually accessible.

The document storage and retrieval portion 38 may further comprise an index portion 40 for recording the stored location of each document in the document storage module for facilitating

rapid recall of any one of the plurality of documents from the document storage module 39. The index portion 40 may further comprise a B-tree 41 and a plurality of core version uniform resource locators 42 (URLs).

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The B-tree 41 is used as an indexing structure for the plurality of documents. A B-tree 41 is a method of placing and locating files in a database. The use of a B-tree 41 minimizes the number of steps necessary to locate a desired document. As an  
10 example, if a database were to be stored on a disk drive the use of a B-tree 41 would minimize the number of times that the drive would have to be accessed to get a specific document. In a B-tree 41, decision points are called nodes. Every node has between  $t-1$  and  $2t-1$  children or branches, where  $t$  is an arbitrary constant. This is a  
15 preferred structure for minimizing the time required to access a specific document; because the height of the tree, and therefore the number of accesses, can be kept small by picking a large value for  $t$ . In other words, more branches extending from each node creates a flatter but broader tree, and fewer steps are required to get to a  
20 specific document.

A URL is the address of a file or document which is accessible on the Internet. The URL contains the name of the protocol required to access the file or document, such as "ftp" or  
25 "http"; a domain name which identifies the specific computer on the network which has the file or document; and a hierarchical description of the location of the file or document on that specific computer.

30 The plurality of core version uniform resource locators 42 provide a path back to a source document from an associated one of

the databases 2 on a source site of the network. This part permits reestablishment of a connection with the database 2 which provided the source document and allows the database 2 to be analyzed by the user.

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A core version of the URL is essentially a URL that is common to all of the extended variations of a URL which lead to the same document or page on a source site. As an illustrative example, a document may be found at a URL of

10 "http://www.generic\_example.com". This same document may also be accessible through additional URLs such as:

"http://generic\_example.com" ; "http://generic\_example.com/"; or "http://generic\_example.com/index.asp". Illustratively, for the above variations, the core version for this document may be

15 "generic\_example.com".

The document storage and retrieval portion 38 may further comprise a uniform resource locator module 43, an entity tag portion 44, a record related information portion 45, and a version control portion 52. The uniform resource locator module 43 is used for retaining a uniform resource locator for each one of the plurality of documents returned by each one of the plurality of databases 2. The entity tag portion 44 is used for retaining an entity tag for each one of the plurality of documents returned by each one of the plurality of databases 2. Entity Tags are defined in the Hyper-Text Transfer Protocol version 1.1.

The record related information portion 45 contains parametric information associated with each one of the plurality of documents.

30 The record related information portion 45 facilitates analysis of each one of the plurality of documents.

The record related information portion 45 may comprise a plurality of segments, including offset segments 46, length segments 47, last-time-checked segments 48, hit segments 49, highest-score segments 50, and database segments 51 for each document in the document storage module.

The offset segments 46 for a document represent a starting point for the document in the document storage module. The length segment 47 for a document represents a length of the document in the document storage module. The last-time-checked segment 48 for a document represents the time of the last known occurrence of collecting the document from its network site of origin. The hit segment 49 for a document represents the number of previous requests received by the document storage module for the document. The highest-score segment 50 for a document represents the best results obtained for the document compiled through use of an arithmetic scoring operation. The database segment 51 for a document represents the search engine used to locate the document.

In one embodiment, each one of the plurality of offset segments 46, length segments 47, last-time-checked segments 48, hits segments 49, highest-score segments 50, and database segments 51 comprises a 32 bit representation. Each one of the segments may be stored as part of an array. Each one of the offset segments 46 includes a one to one correspondence with an index portion. The index portion links a stored version of each document with the associated parametric information. Optionally, each one of the plurality of offset segments 46, length segments 47, last-time-checked segments 48, hits segments 49, highest-score segments 50,

and database segments 51 may comprise a 64 bit representation which facilitates accessibility of larger file constructs through increased addressing capabilities.

5 The version control portion 52 of the document storage and retrieval portion is used for recording version identification for the document storage and retrieval portion 38. The version control portion 52 allows the user to verify configuration attributes such a version number or build date of the document storage and retrieval  
10 portion 38.

A query queue 53 is used to hold each one of the plurality of queries from the query input means 22 until each one of the queries is transferred to the executory module 30.

15 The capture module 60 uses a plurality of threads 63 to transfer queries from the capture module to the executory module 30 to thereby establish multiple coexisting sequential flows of control between the capture module 60 and the executory module  
20 30.

A thread manager 62 is used for the creation, management, and termination of the plurality of threads 63 between the capture module 60 and the executory module 30. Each one of the plurality  
25 of threads 63 transmits a query from the capture module 60 to the executory module 30 and transmits a reply received through one of the sockets 36 from a database 2 from the executory module 30 to the capture module 60.

30 The thread manager 62 terminates one of the sockets 36 when a database 2 has completed responses to an associated series of queries. Thus, each thread 63 terminates upon completion of

queries and responses associated with a single database 2.

The thread manager 62 may further comprise a simultaneous  
thread count parameter 64, a thread creation and termination  
5 portion 65, and a plurality of monitoring portions 66.

The simultaneous thread count parameter 64 contains a value  
received from the executory module 30. The simultaneous thread  
count parameter value is used by the thread manager 62 to set an  
10 upper bound for a number of simultaneously coexisting threads 63  
forming the plurality of threads 63.

The thread creation and termination portion 65 interacts with  
an operating system for generating a thread 63 associated with one  
15 of the databases 2 to be queried.

Each one of the plurality of monitoring portions 66 is  
associated with one of the plurality of threads 63. The monitoring  
portion 66 determines a termination point when all responses  
20 associated with each one of the plurality of queries directed to one  
of the plurality of databases 2 have been returned. The thread  
creation and termination portion 65 terminates one of the plurality  
of threads 63 when the termination point is reached by the  
monitoring portion 66 associated with the one of the plurality of  
25 databases 2.

The thread creation and termination portion 65 is  
operationally linked to the database search listing 32. The thread  
creation and termination portion 65 generates a new thread 63 if  
30 additional databases 2 are to be queried and the simultaneous  
thread count parameter 64 has not been reached.

The thread manager 62 also includes a timeout portion 67 for determining a termination point for use by the thread creation and termination module 65 when a database 2 being queried fails to respond within a predetermined period of time.

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A redirected URL handler portion 55 is used for following redirection of an URL through a plurality of redirections to an ultimate destination without maintaining intermediate pages. The redirected URL handler portion 55 provides an URL for the user of the ultimate document.

As an illustrative example, a source page or database 2 may link to an intermediate document, which in turn links to the desired document. The use of a redirecting URL may allow a database 2 to track which documents are retrieved by the user.

The document storage and retrieval portion 38 may further comprise a source URL portion 56, an ultimate URL portion 57, and a document aging portion 70. The source URL portion 56 is for retaining a URL associated with of each one of a plurality of databases 2 providing documents to the system 10. The ultimate URL portion 57 is similarly used for retaining URL associated with each one of a plurality of ultimate destinations obtained through the redirected URL handler portion 55. The document aging portion 70 is for determining if a current version of a document is available from the document storage and retrieval portion 38 or if the document must be retrieved from another source through the network connectivity portion 34.

The document aging portion 70 may still further include an aging parameter 71, an age module 72, and a modification module 73. The aging parameter 71 is used for selecting a predetermined



maximum age for a document to be considered current. The age module 72 determines when the document was retrieved from a source and if the age of the document exceeds the age parameter 71. The modification module 73 interrogates a server about any changes made to the document since the document was previously retrieved.

The modification module 73 may use an entity tag to determine if the document has been modified. The modification module 73 may also use a last-modified-since tag, especially if the server does not support use of the entity tag.

A scoring portion 75 is used for evaluating each one of the plurality of documents for relevance against a query provided by the user. The scoring portion 75 provides a numeric representation of relevance for the user.

In use, the user submits a query or a series of queries to be submitted to a plurality of databases. The capture module matches the query to a database search listing and selects only the databases that have been previously determined to be relevant for the specific query from the user. If multiple queries are to be submitted then the queries are queued. The executory module obtains a thread count parameter used to determine a maximum number of simultaneous threads to be used by the system. The capture module then begins to establish multiple threads to the executory module for the bi-directional communication between the system and a network such as the Internet.

The thread manager establishes the thread between the capture and executory module. The thread manager also monitors the threads for completion of document retrieval and a time-out condition. After all of the relevant documents for a given database

have been retrieved or the database has timed-out, the thread manager terminates the thread. If another database is to be queried, and the maximum number of threads is not already established, the thread manager will create a new thread. Each thread is created for a specific database, and when that database is finished the thread is terminated.

An initial results page is returned by the database being queried. The results page lists the relevant documents available through that database, and may include a next page link to continue listing relevant documents. The executory module follows the links to the relevant documents and retrieves the documents one at a time. Each document is evaluated before it is stored. The evaluation may include comparisons with an exclusion list, inclusion list, and a scoring function. If the document contains any term on the exclusion list the document is deleted and the executory module retrieves the next document. If an inclusion list is used, any document that does not contain at least one of the terms listed on the inclusion list is deleted and the executory module obtains the next document. If a predefined minimum score is not achieved for the document, the document is also deleted. If a document passes all of the evaluation steps it is passes to the document storage and retrieval portion to be stored.

The stored document is then passed to the capture portion. If the capture portion requests a document rather than simply passing a query the document storage and retrieval portion is checked to determine if the document is available without downloading.

The check of the document storage and retrieval portion may also include a document aging portion. The document aging portion

verifies that a document is available in the document storage and retrieval portion. If the document is available, the age of the document is determined. Preferably if the document is less than 5 to 10 days old it is considered current. If the document is older than the predetermined limit, then the server responsible for that document on the network is queried to see if the document has been modified. If the document has been modified it is downloaded and stored in the document storage and retrieval portion.

Therefore, the foregoing is considered as illustrative only of the principles of the invention. Further, since numerous modifications and changes will readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and operation shown and described, and accordingly, all suitable modifications and equivalents may be resorted to, falling within the scope of the invention.